

Speculative Memory Checkpointing

Dirk Vogt
Vrije Universiteit Amsterdam
d.vogt@vu.nl

Armando Miraglia
Vrije Universiteit Amsterdam
a.miraglia@student.vu.nl

Georgios Portokalidis
Stevens Institute of
Technology
gportoka@stevens.edu

Herbert Bos
Vrije Universiteit Amsterdam
herbertb@few.vu.nl

Andy Tanenbaum
Vrije Universiteit Amsterdam
ast@few.vu.nl

Cristiano Giuffrida
Vrije Universiteit Amsterdam
giuffrida@cs.vu.nl

ABSTRACT

High-frequency memory checkpointing is an important technique in several application domains, such as automatic error recovery (where frequent checkpoints allow the system to transparently mask failures) and application debugging (where frequent checkpoints enable fast and accurate time-traveling support). Unfortunately, existing (typically incremental) checkpointing frameworks incur substantial performance overhead in high-frequency memory checkpointing applications, thus discouraging their adoption in practice.

This paper presents *Speculative Memory Checkpointing (SMC)*, a new low-overhead technique for high-frequency memory checkpointing. Our motivating analysis identifies key bottlenecks in existing frameworks and demonstrates that the performance of traditional incremental checkpointing strategies in high-frequency checkpointing scenarios is not optimal. To fill the gap, SMC relies on working set estimation algorithms to *eagerly* checkpoint the memory pages that belong to the *writable working set* of the running program and only *lazily* checkpoint the memory pages that do not. Our experimental results demonstrate that SMC is effective in reducing the performance overhead of prior solutions, is robust to variations in the workload, and incurs modest memory overhead compared to traditional incremental checkpointing.

Categories and Subject Descriptors

D.4.5 [Reliability]: Checkpoint/Restart

General Terms

Reliability, Algorithms

Keywords

Memory Checkpointing; Speculation; Error Recovery; Debugging; Backtracking; Reliability; Memory Management

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from Permissions@acm.org.

Middleware '15 December 07–11, 2015, Vancouver, BC, Canada

© 2015 ACM. ISBN 978-1-4503-3618-5/15/12...\$15.00

<http://dx.doi.org/10.1145/2814576.2814802>.

1. INTRODUCTION

Memory checkpointing—the ability to snapshot/restore the memory image of a running process or set of processes—has recently gained momentum in several application domains. In automatic error recovery applications, memory checkpointing enables fast and safe recovery to known and stable program states [20, 22, 23, 32, 39, 53, 54, 57, 58, 62, 70]. In debugging applications, it enables users to efficiently navigate through several program states observed during the execution, while empowering advanced debugging techniques such as reverse/replay debugging [27, 34, 60, 61]. Memory checkpointing also serves as a key enabling technology for important first-class programming abstractions like software transactional memory [39], application-level backtracking [11, 76], and periodic memory rejuvenation [68].

Such application domains require very frequent checkpoints in real-world scenarios. For instance, automatic error recovery techniques rely on frequent checkpoints to mask failures to the clients [67]. This is typically accomplished by checkpointing the program state at every client request [22, 39]—or at carefully selected rescue points [33, 53, 58, 62]. In advanced debugging techniques, frequent checkpoints allow users to quickly navigate through arbitrary points in the execution history [33, 34]. Finally, first-class programming abstractions implemented on top of memory checkpointing, such as application-level backtracking, typically yield a very high checkpointing frequency by construction [11].

Traditional memory checkpointing techniques rely on commodity hardware—a strategy that provides superior deployability compared to instrumentation-based strategies [10, 14, 39, 40, 53, 64, 65, 70, 76]—to incrementally copy memory pages that were modified by the running program [11, 20, 21, 34, 37, 51, 54, 56, 58, 60, 63]. While *incremental* memory checkpointing is regarded as an efficient alternative to *disk-based* or *full* memory checkpointing [52], it still incurs nontrivial memory tracing costs for every taken checkpoint, resulting in relatively infrequent checkpoints used in practice.

In this paper, we present *Speculative Memory Checkpointing (SMC)*, a new technique for high-frequency page-granular memory checkpointing. SMC seeks to improve upon current techniques to allow for very *high-frequency* checkpointing at a period that is below the one millisecond boundary, even making it possible to checkpoint *every* request in a highly loaded server. To fulfill this goal, SMC sets out to minimize the memory tracing costs of incremental checkpointing by *eagerly* copying the *hot* (frequently changing) pages, while *lazily* tracing and copying at first modification time only *cold*

(infrequently changing) memory pages. Thus, SMC combines the advantages of full memory checkpointing (efficient bulk copies) with that of incremental memory checkpointing (copy only when needed). The key challenge is to find the optimal trade-off between eagerly copying too many memory pages—i.e., unnecessary memory copying costs—and copying an insufficient number of pages which may result in unnecessary memory tracing costs for every checkpoint.

To address this challenge, SMC relies on a general *writable working set* (WWS) model [15] to detect the memory pages that change most often—the ideal candidates for our speculative copying strategy. To obtain fresh and accurate estimates, our implemented SMC framework supports well-established working set estimation (WSE) algorithms. In addition, we complement our framework with *GSpec*, a novel writable WSE algorithm specifically tailored to high-frequency memory checkpointing. GSpec follows a blackbox optimization strategy inspired by genetic computing [45]. The latter approach provides SMC with a *self-tuning* and *self-adapting* working set estimation strategy by design, which relies on no program-specific parameters and ensures fresh and accurate estimates across several different real-world workloads. This is in stark contrast to traditional WSE algorithms, which, while well established in several application domains such as dynamic memory balancing [13, 30, 42, 43, 66, 78], garbage collection [26, 69, 72], virtual machine restore [73, 74] and live migration [71], are generally ill-suited to high-frequency memory checkpointing. In particular, these algorithms impose a stringent performance-accuracy trade-off that typically results in a nontrivial overestimation of the real writable working set [7]. This is perhaps acceptable in many traditional applications (e.g., dynamic memory balancing with sporadic memory pressure), but leads to substantial overcopying, and thus overhead, for SMC.

Contributions.

The contributions of this paper are fourfold. First, we present an in-depth analysis of prior page-granular memory checkpointing techniques, evidencing their direct and indirect memory tracing costs. Our investigation uncovers important bottlenecks for prior solutions in high-frequency checkpointing contexts and serves as a basis for our design. Second, we present *Speculative Memory Checkpointing (SMC)*, a new technique for high-frequency memory checkpointing based on (several possible) WSE algorithms. Third, we introduce *GSpec*, a novel WSE algorithm which draws inspiration from genetic algorithms to speculatively copy memory pages that are most likely to change in the next checkpointing interval. Finally, we implemented and evaluated a kernel-module-based SMC framework with support for GSpec and other WSE algorithms, demonstrating its performance benefits in high-frequency checkpointing scenarios. Our results demonstrate that our WSE-based strategy is accurate, efficient, robust to workload variations, and effectively reduces the run-time overhead of high-frequency memory checkpointing at the cost of modest memory overhead.

2. BACKGROUND

A straightforward way to implement process checkpointing involves freezing the execution and taking a snapshot of memory by copying it [5, 16, 24, 36, 49, 55]. Even though this approach suffices in certain domains, like process migration, it is wasteful and slow in domains where frequent

checkpoints need to be made, as it requires the process to stop for a significant amount of time and copies potentially large amounts of data indiscriminately.

A more efficient strategy is to rely on *incremental checkpointing*. Incremental checkpointing builds a checkpoint gradually—minimizing the time that a process is suspended, and reducing the amount of data to copy. We can generate incremental checkpoints in two ways. We can make a full snapshot in the beginning, and then track and save all modifications, so we can add them to the snapshot at the next checkpoint [3, 21, 51, 56, 63]. To roll back, all memory is restored using the maintained snapshot. Alternatively, we can do the inverse and copy only the data that are modified after a checkpoint, right before they are overwritten [11, 20, 34, 37, 51, 54, 58, 60]. To roll back we restore only the overwritten data using their copies. We will refer to the former solution as “*copy new data*” (it copies the new data at checkpoint time), and the latter as “*copy old data*” (it copies the old data prior to overwriting them).

Traditional incremental checkpointing mechanisms are usually page-granular, that is, a memory page is the smallest data block copied (although more fine-grained techniques exist [17, 39, 53, 70, 76]). Below we discuss the core mechanisms and techniques employed by these approaches.

Hardware dirty bit.

Incremental checkpointing techniques rely on *dirty page tracking*. Modern memory management units (MMUs) include a *dirty bit* for each entry in the page tables maintained by the operating system (OS), which is set by the hardware when a page is written. The bit is used by the OS to, for example, determine which pages need to be flushed to disk. Directly using this dirty bit to detect modified pages is potentially fast, but requires extensive changes to the OS kernel [5, 21, 36, 63] which is neither attractive, nor likely to help deployability.

Soft dirty bit.

Linux also offers a *soft dirty-bit* mechanism, made available to user space through the `proc` file system, which provides the same functionality with HW dirty bits, albeit not as fast (see Section 3).

Write Bit.

The write bit [63], also provided by the MMU, controls whether a virtual memory page can be written. It is often leveraged for checkpointing. For example, when the dirty bit is missing, it is used to emulate the functionality. Briefly, write protecting a page will generate faults on writes. By capturing the faults, we identify the dirty pages and maintain our own soft dirty bit.

Copy-on-write (COW) semantics.

“Copy old data” approaches that save memory pages on-the-fly are in their majority utilizing the write bit and COW semantics. The most well known use of COW is in the `fork` system call in Linux. `fork` creates a new process, identical to the parent process invoking it, but instead of duplicating all memory pages, the two processes share the same pages which are now marked as read-only and COW. When one of them writes to a page, a fault is generated, causing the kernel to create a copy of the page. User-space checkpointing

mechanisms are using `fork` to copy pages on-demand, but COW semantics can be also used directly from within the kernel, by setting the appropriate bits in the page table.

Page Checksums.

An alternative for determining dirty pages without relying on dirty bits involves periodically calculating the checksum of pages and comparing them over time. The precision of this approach is subject to the accuracy of the algorithm used for computing the checksums [46]. One could also compare the contents of individual memory pages directly [42], but this strategy is generally less space-efficient and more expensive due to poor cache behavior.

3. SMC

Checkpointing based on the write bit, which primarily includes approaches using COW, does not require changes within the kernel and can efficiently roll back, but suffers increasing overhead as the number of pages in a checkpoint grows. Besides the unavoidable cost of copying pages, handling page faults also induces overhead. Given a way to establish which pages are going to be modified after a checkpoint, we could avoid the page-faulting overhead and copy only the pages that need to be saved. This is the key idea behind *Speculative Memory Checkpointing (SMC)*.

Knowing exactly which pages are going to be written after a checkpoint is a difficult problem, which is addressed by SMC through approximation, similar to working set estimation (WSE). Pages that are expected to receive writes are considered to be *hot* and not write-protected but eagerly copied when hitting a checkpoint. In “copy old data” approaches, they are copied and discarded on the next checkpoint, while in “copy new data” approaches, they are copied into the full memory snapshot. The speculative approach followed by SMC can be examined based on *accuracy* and *performance*.

Accuracy.

A speculative approach is accurate when it can continuously determine the pages that will be written during a checkpoint. Missing hot pages triggers page faults and degrades performance. We refer to such errors as *undercopying*. Respectively, marking rarely written pages as hot leads to more copying than needed, also degrading performance. We refer to these errors as *overcopying*.

Performance.

Three key factors affect the performance: the overhead of the algorithm that speculates the set of hot pages, the number of undercopying errors, and the number of overcopying errors. Obviously, a very accurate prediction algorithm can reduce the number of errors, but if that comes with an elevated cost, then it overshadows the lack of errors. Similarly, a large number of errors can make SMC more expensive than traditional incremental approaches (e.g., if none of the hot pages are actually written).

Design.

To guide the design of SMC, we carefully evaluated the impact of common operations performed by traditional incremental checkpointing techniques. Table 1 presents our results. An immediately evident result is the substantial

#	Test	CPU cycles
COW tests		
1	Write to a page after fork.	4016
2	Write to a page, but also fork and terminate the child.	139576
Copying tests		
3	Copy a page and write into it.	492
4	Same as the above but also checksum page data.	1228
Soft dirty (SD) bit tests		
5	Write to a page, read SD bits, and copy page.	16136
6	Same as the above, but clear the SD bits first.	33148

Table 1: Microbenchmarks that test the various operations performed by incremental checkpointing. The table lists the average number of CPU cycles consumed after running each test 1000 times.

overhead introduced by checkpointing strategies using COW pages from user space. This requires forking a new process, managing it, and terminating it when taking a new checkpoint, while the kernel takes care of copying a page when it is written. The latter is quite fast taking only 4016 CPU cycles, while forking, etc. requires 139,576 cycles (see lines 1 and 2 in Table 1). Shedding this overhead is an important factor for high-frequency checkpointing which involves more and potentially shorter (in duration) checkpoints. For this reason, our SMC framework bases its operations in a kernel module that exports checkpointing primitives to user space. A complete user-space solution would have otherwise incurred significantly larger overhead at runtime, mainly due to the cost of managing memory and the MMU bits [9].

To estimate the benefits from using SMC, we compare the time taken to perform a single write when checkpointing with the different incremental checkpointing strategies we described above (see lines 1,3, and 6 in Table 1). Under (accurate) SMC, the page would just be copied once correctly placed in the writable pages hot set, and the write would complete normally. When using COW, the kernel would make a copy of the page, before the write completes. Finally, with soft dirty bits, the write completes normally but we then need to read the dirty bits to identify the updated page and save it. The process takes 492, 4016, and 16136 CPU cycles respectively. Note that in practice there are other costs involved with these strategies as well, like calculating the hot pages, marking all pages as COW in the beginning, and clearing the dirty bits (Table 1, line 6).

We notice that managing soft dirty bits can be very expensive, and it is preferable to use a page’s checksum to identify updated pages, when we are examining a small number of pages. Most importantly, the *direct* cost of saving a page when checkpointing is only a small part of the whole process, which involves many *indirect* costs, like fault handling, managing dirty bits, etc. As a result, a perfectly accurate speculation algorithm incurs eight times less overhead per-page, compared to COW ($\frac{\text{line1}}{\text{line3}}$ of Table 1). We also establish that undercopying and overcopying errors do not cost the same, as the first will result in a COW (approx. 4016 cycles), while the latter leads to a wasted copy (approx. 492 cycles). Thus, on modern architectures, the cost for 1 undercopying error is comparable to 8 overcopying errors.

Finally, a “copy old pages” approach is more favorable because it requires less memory space for each checkpoint (no full snapshot). Other than guiding the design and implementation of SMC, we later use these findings to derive the cost factors for our genetically-inspired *GSpec* WSE strategy.

4. FRAMEWORK OVERVIEW

Figure 1 depicts the high-level architecture of our SMC framework. To deploy SMC, users install a small kernel module (`ksmc`) and link their programs against a user-level library (`libsmc`). The library offers convenient memory checkpoint/restore primitives to programs and forwards all their invocations to `ksmc` through a fast and dedicated `SMCall` interface that requires no recompilation or restart of the running operating system kernel. Our kernel module can handle requests from a large number of programs in parallel and be safely unloaded when no longer needed, which ensures a fast and safe deployment of SMC. Also note that programs not using speculative checkpointing functionalities are unaffected by the presence of `ksmc`.

When a user program issues a memory *checkpoint* request via `libsmc`, our kernel module checkpoints the current memory image of the calling process and returns control to user space. This event marks the beginning of a new checkpointing interval, terminated only by the next checkpoint (or restore) request. The data (and metadata) associated with every checkpoint is maintained in an in-kernel journal by the core *checkpointing component* (`CKPT`) of `ksmc`. The journal stores a maximum predetermined number of K checkpoints on a per-process basis, following a FIFO replacement strategy—currently $K=1$ by default, a common assumption in traditional memory checkpointing applications [20, 22, 32, 39, 53, 54, 58, 68, 70]. When necessary, user programs can issue a memory *restore* request and allow `ksmc` to automatically revert the current memory image to the last checkpoint k , with $k \in [1; K]$.

To speculatively copy frequently accessed memory pages and reduce memory tracing costs, the checkpointing component relies on the *speculation component* (`SPEC`), which maintains fresh writable working set estimates to drive SMC’s speculative copying strategy. In particular, at the beginning of every checkpointing interval, the speculation component informs the checkpointing component of all the *hot* memory pages that should be *eagerly* copied before returning control to user space. A copy of these pages is immediately stored in the current checkpoint, eliminating the need for explicit memory tracing mechanisms in the forthcoming checkpointing interval. All the other (*cold*) memory pages, in turn, are explicitly tracked and their data copied *lazily* at first modification.

4.1 Checkpointing Component

The checkpointing component implements the core memory checkpointing functionalities in the `ksmc` kernel module. Its operations and interface are deliberately decoupled from the main kernel as much as possible. Its internal structure is fully event-driven with a number of well-defined entry points. The main entry point provides user programs with access to a simple control interface via the `libsmc` library. Each user process can register itself with the checkpointing component—that is enter “*SMC mode*”—and specify the desired SMC configuration, including the speculation strategy to adopt and the memory regions to checkpoint. By default, the entire memory

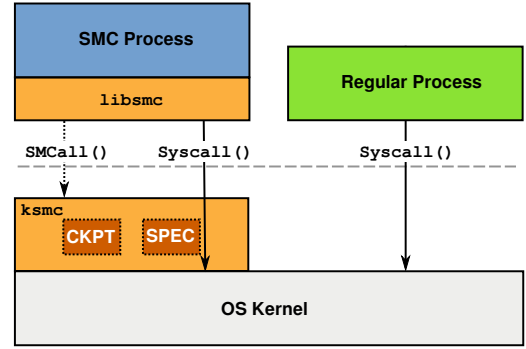


Figure 1: High-level architecture of SMC

image is considered for checkpointing, but user programs may limit checkpointing operations to specific memory areas—for example, to implement an SMC-managed heap for a specialized memory allocator that supports application-level backtracking. The control interface also allows primitives to checkpoint/restore the predetermined memory areas or reset/collect SMC statistics—for example, average number of pages copied eagerly/lazily per checkpointing interval.

For each process in SMC mode, `ksmc` maintains a process descriptor—with process-specific configurations—a set of memory area descriptors, and a journal of checkpoint descriptors. Each checkpoint descriptor maintains a number of page entries with the address and a copy of the original page to restore the saved memory image starting from the next checkpoint in the journal—or the current memory image in case of the most recent checkpoint.

When a process enters SMC mode, `ksmc` creates new process and memory area descriptors as well as an implicit first checkpoint using a full-coverage memory tracing strategy akin to incremental checkpointing. This is done by write-protecting the page table entries associated with all the memory pages in the virtual address space of the calling process and intercepting all related page faults to save a copy of the soon-to-be modified pages.

Page fault events represent the second important entry point in `ksmc`, allowing SMC’s memory tracing strategy to create new page entries in the current checkpoint descriptor, notify the speculation component of the event, and allow the kernel to simply copy and unprotect the faulting page and resume user execution. To avoid slowing down the normal execution of the main kernel’s page fault handler, `ksmc` supports efficient lookups of process and memory area descriptors to quickly return control to the main kernel if the last faulting page is not currently being tracked by SMC. A similar strategy is used when intercepting process termination events—the third entry point in `ksmc`—which the checkpointing component tracks to automatically garbage collect all the descriptors and page entries associated with each terminating SMC process.

When a new checkpoint operation is requested, `ksmc` marks the current checkpoint descriptor as completed—note that this is always possible even at the first application-requested checkpoint by construction—and creates a new checkpoint descriptor for the forthcoming checkpointing interval. It subsequently iterates over the page entries in the last checkpoint descriptor and requests the speculation component to

determine the optimal copying strategy for each page. For each memory page subject to an *eager* copying strategy, `ksmc` immediately creates a new page entry in the new checkpoint descriptor. For other pages, `ksmc` write-protects the page and delegates the checkpointing operations to page fault time.

When a new restore operation is requested, `ksmc` walks the checkpoint descriptors in reverse order—starting from the current one and ending with the one requested by the user—and incrementally restores all the contained page entries. It subsequently evicts all the visited checkpoint descriptors (and associated entries) from the journal and notifies the speculation component of the event.

4.2 Speculation Component

The speculation component enhances the basic incremental checkpointing strategy implemented by the standalone checkpointing part with a working set estimation-driven speculative checkpointing technique at the beginning of every checkpointing interval. While currently integrated in `ksmc`, the speculation component is strictly decoupled from the checkpointing component and provides a generic speculation framework suitable for both user-level and kernel-level checkpointing solutions. The speculation component requires the external checkpointing solution to provide a number of platform-specific callbacks, including memory allocation, debugging, and configuration primitives. In SMC, our kernel module implements all the relevant callbacks suitable for kernel-level execution.

Internally, our speculation component shadows many of the data structures described in the previous subsection—descriptors and page entries—but also supports writable working set *contexts* for the benefit of the individual speculation strategies implemented in our framework. Each context stores all page entries associated with the current writable working set, which our speculation component uses to determine the memory pages subject to our *eager* copying strategy when initializing a new checkpoint descriptor. The current working set context is established at the beginning of every checkpointing interval based on user-defined policies.

Each speculation strategy has unrestricted read and write access to the current writable working set context and can register hooks to manipulate the context for all the events controlled by our checkpointing module: page fault, checkpoint, restore, etc. The most conservative speculation strategy would simply produce empty writable working sets never populated with any page entries, an approach that would effectively degrade SMC to traditional incremental checkpointing. More effective speculation strategies, including our genetic speculation and other more traditional working set estimation strategies, are discussed in the following sections.

5. SPECULATION STRATEGIES

In the course of this work, we have considered a number of speculation strategies for SMC, drawing from classic working set tracking techniques and black box optimization algorithms. We now discuss these strategies in more detail.

5.1 Classic WSE Strategies

Scanning-based techniques.

Scanning-based strategies periodically scan *all* the memory pages of a running process and determine the current writable working set from the recently modified pages. Scanning-

based strategies are generally too expensive for short scanning intervals—strategies involving lightweight dirty page sampling have suggested using intervals of around 30 seconds [66]—due to high costs associated with frequent reference bit manipulation. The latter also suffers from the deployability limitations evidenced in Section 2. These shortcomings hinder the applicability of scanning-based strategies to high-frequency SMC.

Active-list-based techniques.

Active-list-based techniques divide all memory pages into two lists: *active* and *inactive*. On first access, pages are put on the active list, which are considered hot, that is eagerly copied at the beginning of a new checkpoint interval. On the contrary, inactive pages are copied on demand triggering a COW event. We implemented two active-list-based techniques, *Active-RND* and *Active-CKS*, which mainly differ in their active list eviction strategy.

Active-RND relies on dynamically determining the size of the WWS through periodic sampling. This is done by write-protecting the whole address space during the sampling runs, whereas the WWS size is calculated as the running average of the number of pages accessed during these runs. Whenever the active list has reached the estimated size and a new page faults in, *Active-RND* randomly evicts a page from the list. We chose a random page replacement strategy over other well known page replacement algorithms, like FIFO or the LRU-like CLOCK algorithm [12] and its variations [8, 29], because the latter either performed significantly worse in early experiments (FIFO), or require dirty page tracking or page-table entry reference-bit manipulation.

Active-CKS relies on the observation that copying and calculating a checksum is still significantly cheaper than copying a page in COW fashion. While pages also enter the active list when first accessed, *Active-CKS* will only evict a page when its checksum did not change during the last N checkpoint intervals, with $N = 5$ (the top performer in our experiments).

Oracle.

The *Oracle* strategy considers all the pages that will be accessed during the next interval as *hot*. Since this strategy is directly based on knowledge of the future (and due to the lack of a time machine), SMC implements only an optimistic approximation of this algorithm based on profiling data. For each checkpoint c , it logs the number of modified pages N_c offline and pre-copies N_c dummy pages online. While this strategy lacks correctness, it gives a good estimate of what performance improvements can be expected by SMC given an ideal speculation strategy.

5.2 Genetic Speculation

Our genetic speculation strategy—or *GSpec*—aims to estimate the current writable working set using a methodology inspired by genetic algorithms [45]. Such algorithms provide a widely employed blackbox optimization method for problems with a large set of possible solutions. Genetic algorithms are inherently *self-tuning* and *self-adapting*, matching the stringent accuracy and adaptivity requirements of high-frequency memory checkpointing. Inspired by biological evolution, such algorithms allow candidate solutions, also called *individuals*, to compete against each other. In our case an individual represents a set of *hot* pages, whereas the information of

which pages are considered to be *hot* is encoded in the individuals’ chromosomes—typically represented by a bit string. All current individuals form a population. They are periodically evaluated using a *cost function*, which measures their respective *fitness*. After each evaluation period, a new generation of the population is formed by selecting the most fit individuals (*selection*) and recombining their chromosomes (*crossover*). Over time the population’s solutions are meant to converge to a minimum of the cost function.

Chromosome representation and cost function.

GSpec maintains a global list of all the memory pages currently known by the algorithm, ordered by page appearance. Each individual’s chromosomes represent a set of candidate memory pages, stored in a *WWS bitmap*—a generic bit string. If a bit in the WWS bitmap is set, the corresponding page is marked as *hot*, that is, part of the writable working set—otherwise the page is considered *cold*. Whenever a memory page is marked as cold by all the individuals, the page is removed from the global page list, that is, the algorithm forgets about the page.

GSpec models its *cost function* based on the memory copying costs caused by a given individual. Each memory page copied during a checkpointing interval contributes to the total cost associated with the current individual. Memory pages copied *lazily* are weighted more to reflect the memory tracing costs associated with the COW semantics. Although weighted less, pages copied *eagerly* are still assigned a nonzero cost, preventing *GSpec* from greedily copying all the known memory pages. The cost values are directly derived from our analysis in Table 1, with a value of 1 and 8 accounted for every page copied eagerly and lazily, respectively.

Speculation phase.

The population has a predetermined size of $N=5$ individuals, a standard value adopted in prior work on micro-genetic algorithms to ensure an efficient and fast-converging implementation [35]. For each checkpointing interval, *GSpec* selects one individual from the population in a round-robin fashion and requests the checkpointing component to copy all the hot pages eagerly. The costs for the eagerly copied pages (1) are attributed to the current individual. For each page that faults in during the current interval, the respective cost (8) is assigned to the current individual. If a faulting page is currently not in *GSpec*’s global list, it is added to the WWS bitmap of the current individual with unbiased probability $p=0.5$.

Forming a new generation.

After every individual had its turn, *GSpec* computes a new generation of individuals to evolve the current population. Each new individual thereby inherits the combined genetic information from selected parent individuals of the current population. Common *selection strategies* adopted by traditional genetic algorithms are *tournament selection* [44] and *roulette wheel selection* [41].

Both strategies select two parent individuals P_1 and P_2 to generate each individual in the new generation. *GSpec* implements a roulette wheel selection strategy, which yields a simpler implementation and is known to accurately model many real-world problems [18]. This strategy stochastically selects individuals with a higher probability for lower cost values. *GSpec*, achieves that by keeping track of the lowest

cost C_{min} in the population and selecting a random individual I_R with a cost C_R as parent with a probability of $p=C_{min}/C_R$. This process is repeated until two parents are assigned to each individual of the new generation.

Once the parent individuals for the next generation have been selected, *GSpec* mixes the writable working sets of each parent pair P_1 and P_2 to generate each new individual. This operation is commonly referred to as *crossover*, with two dominant strategies used in the literature: *n-point crossover* and *uniform crossover* [45].

GSpec opts for a uniform crossover strategy, which generally yields an unbiased and more efficient exploration of the search space in practice [59]. This strategy selects each chromosome bit from P_1 (instead of P_2) with a predetermined probability p . *GSpec* selects the individual chromosome bits with the standard probability $p=0.5$ commonly adopted in prior work in the area [59].

To avoid local minima, genetic algorithms occasionally *mutate* the recombined chromosomes after the crossover phase. *GSpec* implements a simple bit-flip mutation strategy, flipping the individual chromosome bits with a predetermined probability p . In the current implementation, *GSpec* opts for a bit-flip mutation probability $p=0.01$, again, a value commonly adopted in the literature [45].

6. IMPLEMENTATION

We implemented SMC in an architecture-independent loadable kernel module for the Linux kernel. Our implementation initially targeted Linux 3.2, comprising a total of 2227 LOC¹ for the *checkpointing component* and 1466 LOC for the *speculation component*—implementing our genetic speculation strategy and the alternatives (*Active-RND*, *Active-CKS*, and *Oracle*) considered in the paper. We subsequently tracked all the mainline Linux kernel changes until the recent 3.19 kernel release and, despite the fast-paced evolution of the Linux kernel interfaces, we added a total of only 20 extra LOC to our original implementation. This acknowledges our efforts into decoupling SMC from the mainline kernel, relying on a minimal and stable set of kernel APIs—currently a total of 45 common kernel routines for memory allocation, page table manipulation, interfacing, and synchronization.

Driven by the same principles, we implemented SMC’s page fault interception mechanism using *kernel probes* [4], the standard Linux kernel instrumentation facility which allows modules to dynamically break into any kernel routine—`handle_mm_fault`, for our purposes—in a safe and non-disruptive fashion. We adopted the same mechanism to intercept process termination events—the `do_exit` and `do_execve` kernel routines—and automatically perform all the necessary process-specific cleanup operations. To implement SMC’s dedicated `SMCall` interface, in turn, we allowed our kernel module to export a new kernel parameter accessible via the `sysctl` system call from user space. Our user-level `libsmc` library—implemented in one header file of 114 LOC—hides the internals of the `sysctl`-based communication protocol with the kernel module to user programs.

To support common request-oriented recovery models with minimal user effort [22, 39], SMC is also equipped with a profiler that automatically identifies suitable checkpointing locations at the top of long-running request loops and a trans-

¹Source lines of code reported by David A. Wheeler’s SLOC-Count.

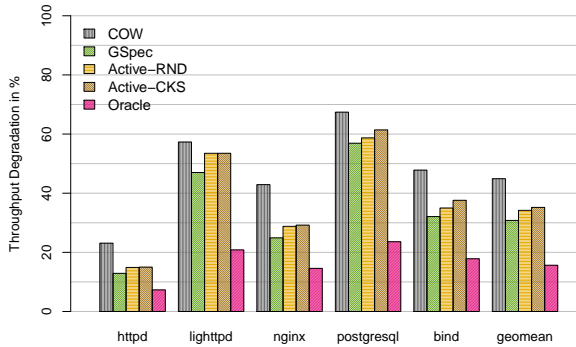


Figure 2: Throughput degradation induced by different SMC speculation strategies (program only).

formation module that subsequently prepares the program for speculative memory checkpointing using the identified locations. The profiler and the transformation module rely on link-time instrumentation implemented using the LLVM compiler framework [38], for a total of 728 LOC. The profiling instrumentation tracks all the loops in the program for the benefit of our profiler—copied with an interposition library to track all the processes in the target program—implemented in 3,476 LOC. The latter allows the user to instrument the target program, run it using a given test workload, and receive a complete report on all the process classes identified in the program and their long-running request loops—loops that never terminate during the test workload. The report is subsequently used by the transformation module to produce the final SMC-ready binary.

7. EVALUATION

We evaluated our SMC framework implementation on a workstation running Linux v3.12.36 (x64) and equipped with a dual-core Intel Pentium G6950 2.80 GHz processor and 16 GB RAM. To evaluate the real-world impact of SMC, we selected five popular server programs—a common target for memory checkpointing applications in prior work in the area [20, 53, 54, 58, 70]—and allowed our deployed SMC framework to checkpoint the memory image of their worker processes at *every* client request, following the common request-oriented checkpointing model [22, 39]. For our analysis, we considered the three most popular open-source web servers—Apache httpd (v.2.2.23), nginx (v0.8.54), and lighttpd (v1.4.28)—a popular RDBMS server—PostgreSQL (v9.0.10)—and a widely used DNS server—BIND (v9.9.3). To evaluate the impact of SMC on our server programs, we performed tests using the Apache benchmark (AB) [1] (web server programs), the Sysbench benchmark [6] (PostgreSQL), and the queryperf tool [2] (BIND). To investigate the SMC-induced performance impact in memory-intensive application scenarios and its sensitivity to the checkpointing frequency, we further evaluated our solution on *hmmcr*, a popular scientific benchmark. Finally, in order to directly compare SMC with recent instrumentation-based memory checkpointing techniques [65] that naturally do not cover uninstrumented

Strategy	Throughput degradation
COW	59.8 %
GSpec	42.8 %
Active-RND	46.4 %
Active-CKS	48.6 %
Oracle	18.9 %

Table 2: Throughput degradation (geomean) induced by different SMC speculation strategies (program and shared libraries).

Server	Requests per second
Apache httpd	20,887
lighttpd	28,002
nginx	22,602
PostgreSQL	20,089
BIND	30,848

Table 3: Number of requests per second handled by our server programs (baseline, no checkpointing).

shared libraries, we focus our evaluation on a program-only analysis and briefly report on the performance impact of shared libraries when extending the checkpointing surface to the entire address space.

To prepare our test programs for request-oriented memory checkpointing, we allowed our dynamic profiler to automatically identify all the long-running request loops in preliminary test runs and instrument the top of each loop with a *checkpoint* call into the *libsmc* library. We configured all of our test programs with their default settings and instructed the Apache httpd web server to serve requests with the *prefork* module with 10 parallel worker processes. We repeated all our experiments 11 times (with negligible variations) for each of the speculation strategies presented in Section 5 and report the median.

Our evaluation focuses on five key questions: (i) *Performance*: Does SMC yield low run-time overhead in high-frequency memory checkpointing scenarios? (ii) *Checkpointing frequency impact*: How sensitive is SMC performance to the memory checkpointing frequency? (iii) *Accuracy*: What is the accuracy of our WSE-based speculation strategies? (iv) *Memory usage*: How much memory does SMC use? (iv) *Restore time*: Does SMC yield low restore time increase?

7.1 Performance

To evaluate the run-time performance overhead of SMC on real-world applications, we tested our server programs running in “*SMC mode*” and compared the resulting throughput against the baseline. To benchmark our web server programs, we configured the Apache benchmark to issue 25,000 requests through the loopback device, using 10 parallel connections, 10 requests per connection, and a 1KB file. To benchmark BIND, we configured the queryperf tool to issue 500,000 requests for a local resource using 20 parallel threads. To benchmark PostgreSQL, we configured the Sysbench benchmark to issue 10,000 OLTP requests using 10 parallel threads and a read/write workload. In all our experiments, we verified that our programs were fully saturated by the benchmarks.

Figure 2 shows the SMC-induced throughput degradation for our server programs, as observed during the execution

of our macrobenchmarks. The absolute number of requests handled by the individuals servers without checkpointing can be found in Table 3. As expected, our speculation strategies generally yield a lower run-time performance overhead than traditional COW-style incremental checkpointing (*COW* in Figure 2) implemented by our checkpointing component in absence of any speculation strategy—note that our COW-based implementation is already much faster than traditional *fork*-based implementations used in much prior work. Compared to *COW*, our speculation strategies reported an average (geometric mean) overhead reduction of 4.44-14.24 percentage points (p.p.). *GSpec*, in particular, was consistently the top performer across all our server programs (14.24 p.p. average overhead reduction compared to *COW*, geometric mean). In some scenarios, the *GSpec*-reported improvements over traditional memory checkpointing are more significant—for example, 18 p.p. overhead reduction for *nginx*—due to higher checkpointing frequency and a more stable working set.

Active-RND is the second best-performing strategy—with an average performance overhead of 34.2% compared to *GSpec*’s 30.8% and *COW*’s 44.9% (geometric mean)—but we experienced its performance rapidly dropping as we deviated from the best-performing *RND-N* value. We found that altering *GSpec*’s core parameters from the values commonly adopted in the genetic algorithms literature, in contrast, had only marginal (if any) performance impact. Furthermore, *Active-CKS* reported the worst speculation performance, with an average overhead of 35.02% across all our server programs. Finally, the *Oracle* strategy reported, as expected, a consistently lower overhead compared to all our speculation strategies (15.63% geometric mean), providing a promising theoretical lower bound for the performance overhead of any future SMC strategy. Encouragingly, *GSpec* consistently follows the *Oracle* strategy across all our server programs and its overhead even comes relatively close to the *Oracle* for programs with a fairly stable writable working set—for example, 32.1% compared to 17.83% on *BIND*.

We now compare our results with recent compiler-based memory checkpointing techniques (LMC) [65]. For servers with good speculation performance, SMC performance is comparable or better than that of compiler-based techniques (e.g., *GSpec*’s 12.9% vs. LMC’s 15.3% on Apache *httpd*). When speculation is less effective, compiler-based techniques tend to outperform SMC (e.g., *GSpec*’s 56.9% vs. LMC’s 32.2% on PostgreSQL). On average, SMC induces an extra performance impact of 10-15 p.p. across programs. Nevertheless, we found our results very encouraging, given that unlike compiler-based techniques, SMC’s checkpointing strategy is source code-agnostic and can thus operate on legacy binaries.

Finally, Table 2 shows that, when extending the checkpointing surface to the entire address space, we observed an additional performance impact (due to shared library checkpointing) in the range of 12-15 p.p. We also note that the general trend is consistent and speculation equally effective, e.g., 17 p.p. average performance improvement with *GSpec*.

7.2 Checkpointing Frequency Impact

In the previous subsection, we investigated the SMC-induced performance impact on server request-oriented memory checkpointing, a scenario which, in our experiments, yielded a checkpointing frequency of 9K-26K checkpoints/sec across all our server programs.

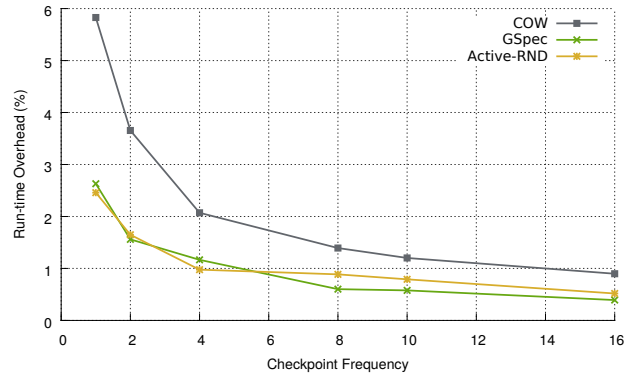


Figure 3: Run-time overhead induced by the different SMC speculation strategies on *hmmer*.

To investigate the frequency impact, we evaluated our best-performing (*GSpec* and *Active-RND*) speculation strategies on *hmmer*, a memory-intensive scientific benchmark. For our purposes, we instrumented *hmmer* to invoke the *checkpoint* call into the *libsmc* library at each task loop iteration, and forced our library to forward the calls to *ksmc* only every *F* predetermined invocations. This allowed us to emulate different checkpointing frequencies, ranging from roughly 700 checkpoints/sec—when checkpointing at every iteration—to 40 checkpoints/sec—when checkpointing every 16 iterations.

Figure 3 depicts the SMC-induced run-time overhead on *hmmer* across all the checkpoint frequencies considered. Results shown in the figure provide a number of interesting insights. First, checkpointing at every loop iteration yields comparable results to our performance experiments on servers program, with *GSpec* (2.6%) and *Active-RND* (2.4%) improving over *COW* (5.8%). Finally, as expected, for lower memory checkpointing frequencies, the memory tracing costs incurred by traditional *COW* become more amortized throughout the execution and the performance benefits of SMC become less evident—e.g., less than 1 p.p. overhead reduction when checkpointing every 16 iterations.

7.3 Accuracy

To evaluate the accuracy of our speculation strategies, we implemented support for a “*meta speculation*” strategy in SMC. The meta speculation strategy relies only on standard COW-style incremental checkpointing, but also transparently exposes each observed page-fault event *only* to the other speculation strategies that have assumed the faulting page not to be in the current writable working set. This allows all strategies to operate normally, while the meta speculation strategy gathers accuracy statistics based on the number of memory pages dirtied by the running program.

Table 4 reports the accuracy statistics produced by the meta speculation strategy when analyzing our server programs. Statistics are gathered on a per-checkpoint interval basis during the execution of our macrobenchmarks and averaged using the mean. The number of mispredicted pages (MP), that is, the sum of overcopied pages (OP) and undercopied pages (UP), represents the total number of dirty memory pages that a given speculation strategy failed to predict according to its internal writable working set estimates. The weighted mispredicted pages (WMP), in turn, weigh undercopied pages—inducing COW events—more than

	GSpec				Active-RND				Active-CKS			
	OP	UP	MP	WMP	OP	UP	MP	WMP	OP	UP	MP	WMP
Apache httpd	7.9	1.5	9.4	19.7	6.1	2.9	9.0	29.5	9.4	0.4	9.9	26.9
nginx	10.1	0.6	10.8	6.8	2.5	0.8	3.3	8.7	2.1	0.3	2.4	7.8
lighttpd	22.7	3.2	25.9	48.3	10.5	6.1	16.6	59.6	19.1	2.1	21.2	64.5
PostgreSQL	30.0	4.7	34.8	68.0	19.2	6.3	25.6	70.4	29.7	0.9	30.6	81.6
BIND	2.4	0.9	3.3	9.8	3.3	0.6	3.9	7.8	2.9	0.4	3.3	10.2
geomean	10.5	1.6	12.5	21.2	6.3	2.2	8.7	24.3	8.0	0.6	8.7	25.7

Table 4: Accuracy of the different SMC speculation strategies, with the average numbers of overcopied pages (OP), undercopied pages (UP), mispredicted pages (MP), and weighted mispredicted pages (WMP).

overcopied pages, also taking into account the additional costs for computing the checksums in *Active-CKS*. WMP is computed as $WMP = C_{OC} * N_{OC} + C_{UC} * N_{UC}$, with N_{OC}/N_{UC} and C_{OC}/C_{UC} being the number and cost factor of overcopied/undercopied pages (respectively). Based on the numbers in Table 1, we assume $C_{OC} = 1$ for *GSpec* and *Active-RND*, and $C_{OC} = 2.5$ for *Active-CKS*. We also assume $C_{UC} = 8$ for all our strategies.

The number of unweighted mispredictions (MP) alone seems to suggest that *Active-CKS*, with 8.7 mispredicted pages on average, is together with *Active-RND* the best speculation strategy. However, its high accuracy is overshadowed by the checksumming costs ($WMP = 25.7$), especially as *Active-CKS* tends to overcopy ($OP = 8.0$) nearly as much as *GSpec* (10.5).

GSpec, in turn, reported 21.2 weighted mispredicted pages on average, outperforming the runner-up *Active-RND*—that is, 24.3 WMP on average—with a similarly efficient working set estimation implementation. This result acknowledges the effectiveness of *GSpec*’s cost-driven speculation strategy empowered by genetic algorithms compared to the random strategy provided by *Active-RND*. This is also reflected in the lower WMP values reported by *GSpec* across all our server programs.

Overall, we can observe that the WMP predicts the performance results of the respective speculation mechanisms well and further shows the importance of carefully balancing accuracy and efficiency of the underlying working set estimation algorithm when designing a speculation strategy.

7.4 Memory Usage

As checkpoints also include overcopied pages, the accuracy of a speculation strategy has a direct impact on checkpoint size and overall memory usage. In our experiments, we observed our speculation strategies introducing an average checkpoint size increase compared to COW of 44%-66% across all our server programs (geometric mean). Programs with a larger writable working set—for example, PostgreSQL—or more diverse memory access patterns across checkpointing intervals—for example, lighttpd—yield the highest checkpoint size compared to traditional incremental checkpointing across all our speculation strategies, with a maximum increase of 133% and 107% (respectively). Programs with more rigorous memory usage, in turn—that is, Apache httpd and BIND—yield a more limited amount of overcopying, with a maximum increase of only 19% and 12% across all our speculation strategies. *GSpec*’s checkpoint size increases are comparable to the other speculation strategies, only occasionally yielding higher increases that reflect a more aggressive overcopying strategy—for example, for Apache

httpd. Even nontrivial increases in checkpoint sizes (e.g., 133% for PostgreSQL), however, do not typically result in significant increases in physical memory usage overhead compared to COW. To quantify the latter, we computed the average overhead induced by memory checkpointing on the *Resident Set Size* (RSS).

Using COW, we reported a worst-case RSS overhead induced by memory checkpointing of only 3.6% (lighttpd). The same scenario resulted in a maximum RSS overhead of 7.6% across all our speculation strategies. This, thereby, translates to a maximum RSS increase of only 4 p.p. induced by SMC.

7.5 Restore Time

Overcopying errors introduce an excessive number of pages in a checkpoint, thus also increasing the restore time. For the program (PostgreSQL) with the largest checkpoint size increase (30 pages for *GSpec*) and the second largest average checkpoint size (28 pages), this results in roughly doubling the number of pages to be restored (58 pages). The worst-case relative increase across our server programs is, thus, small, with only 558 extra CPU cycles required to restore 58 pages (2840 cycles) instead of 28 pages (2282 cycles)—measured using a synthetic microbenchmark. As the total time is still small and restore operations are generally much less frequent than checkpoint operations (e.g., at error recovery time), we believe this additional cost to be negligible in practice.

8. RELATED WORK

Incremental checkpointing techniques.

Several incremental checkpointing variations and applications are described in literature, with implementations at the user level [3, 16, 20, 51, 54–56, 60], kernel level [5, 21, 24, 36, 37, 49, 58, 63], or virtual machine monitor level [11, 34, 50, 67]. User-level techniques can be easier to deploy, but incur significant run-time overhead because memory management at the application-level is more costly than from within the kernel [9]. Other user-level approaches, rely on compiler-based program instrumentation [10, 14, 39, 40, 64, 65, 76], which require source-code and recompilation of the target programs and all used libraries. Using dynamic instrumentation at the binary level [53, 70] can provide checkpointing for unmodified binaries but incurs even higher performance overheads. Finally, approaches that require hardware support are not practical on commodity systems [17]. For this reason, SMC adopts a kernel-only checkpointing strategy implemented in a small kernel module, allowing for easier deployment compared to prior kernel-level work relying on dedicated kernel patches [5, 21, 36] or complex modules implementing fully-blown memory containers [49, 58]. Furthermore, in

stark contrast to SMC, these techniques make no attempt to eliminate direct and indirect memory tracing costs in high-frequency memory checkpointing scenarios.

Checkpointing optimizations.

A common trend in prior work is to explore strategies to reduce the amount of checkpointed data. Some approaches propose checkpoint compression [28, 40], others rely on block-level checksumming [19, 46] to improve the granularity of incremental checkpointing techniques [3, 19, 46, 51, 56, 63], or, seek to discard redundant memory pages from the checkpointed data [25, 47, 50]. These approaches are well-suited to space-efficient process checkpointing on persistent storage, but are generally less useful to improve the memory checkpointing performance. SMC demonstrates that, in high-frequency memory checkpointing scenarios, memory over-copying can actually be beneficial to minimize the impact of indirect costs on the run-time performance.

Researchers also have explored program analysis techniques to select optimal checkpointing locations [40] or checkpointed data [14, 22, 32]. While complementary to our work, these techniques may help select checkpointing intervals with minimal working set size or provide useful heuristics to improve the accuracy of our working set estimation algorithms. We plan to explore the impact and the synergies between program analysis techniques and SMC in our future work.

Finally, other researchers have considered prediction-based strategies to improve memory checkpointing techniques. Nicolae et. al [48] propose predicting the order of memory pages modified within the next checkpointing interval to prioritize data to save on persistent storage in an asynchronous fashion. Also their prediction strategy is tailored to reducing the number of copy-on-write events—each memory page is write-protected until asynchronously flushed to persistent storage. Unlike SMC, however, their focus is on reducing copy-on-write events to minimize memory usage and their prediction strategy is only effective in asynchronous checkpointing scenarios. Other researchers have proposed combining copy-on-write semantics with dirty page tracking—using dirty bits [67] or memory diffing [42]—to predict (and precopy) the pages modified at the next checkpointing interval. Their prediction strategy, however, is limited to consecutive checkpointing intervals—which reduces the overall prediction accuracy—and relies on expensive tracking mechanisms in high-frequency checkpointing scenarios—which reduces the overall performance. SMC, in contrast, generalizes these simple prediction strategies to the writable working set model, with a larger window of observation and stronger performance-accuracy guarantees.

Working set estimation.

Researchers have investigated working set estimation algorithms for a broad range of application domains, ranging from garbage collection [26, 69, 72], dynamic memory balancing [13, 30, 42, 43, 66, 78], and efficient memory management in general [79], to fast program startup [31], VM migration [71], and page coloring problems [75]. To our knowledge, however, SMC represents the first application of working set estimation algorithms to the memory checkpointing domain. Prior work on working set-driven restore of checkpointed virtual machines [73, 74] comes conceptually close, but, in such context, the working set estimation is performed relatively infrequently and offline—at checkpointing time—and the in-

formation gathered only later used to efficiently prefetch data from persistent storage—at restore time. SMC, in contrast, relies on online WSE algorithms that assist and exploit synergies with high-frequency memory checkpointing techniques in real time.

Working set size estimation techniques rely either on dirty page sampling [66, 75], monitoring memory statistics exported by the operating system [13, 26, 43, 72], or incrementally constructing LRU-based miss ratio curves (MRC) [30, 42, 69, 71, 77–79]. The latter generally provide the most accurate working set estimation method, but their most natural implementation requires expensive memory tracing mechanisms. More efficient implementations adopt an intermittent MRC tracking strategy that closely follows the phase behavior of common real-world programs [77] or rely on working set tracking to avoid tracing frequently accessed pages [69, 78, 79], typically at the cost of reduced accuracy [7].

However, traditional working set tracking techniques impose important performance and deployability limitations when applied to high-frequency memory checkpointing. Our genetically-inspired blackbox optimization algorithm, in turn, seeks to minimize the ad-hoc tuning effort generally required by prior techniques, automatically adapting the estimates to different workloads and matching the high accuracy and responsiveness required in high-frequency memory checkpointing scenarios.

9. CONCLUSION

Traditional incremental memory checkpointing is generally perceived as sufficiently fast for several typical real-world programs. In this paper, we challenged this common perception in the context of high-frequency memory checkpointing, by demonstrating that “hidden” costs generally deemed marginal in periodic checkpointing solutions significantly increase the run-time overhead when checkpoints are frequent. To substantiate our claims, we presented an in-depth analysis of the direct and indirect memory tracing costs associated with incremental checkpointing and uncovered limitations of prior frameworks in high-frequency checkpointing scenarios.

To address such limitations, we presented SMC, a new low-overhead technique suitable for high-frequency memory checkpointing. To minimize the direct costs associated with the checkpointing activity, our SMC framework relies on non-intrusive kernel-level specialization implemented in a loadable kernel module. To minimize the indirect costs associated with the checkpointing activity, our framework relies on algorithms for estimating the writable working set to copy speculatively those memory pages that are most likely to change in the next checkpointing interval, and in so doing reduce the memory tracing surface required by traditional incremental checkpointing.

We also demonstrated that our genetically-inspired blackbox optimization algorithm (GSpec) provides an effective working set estimation strategy for SMC, continuously adapting the working set to the workload driven by only program-agnostic cost factors. This strategy provides better accuracy, performance, and self-tuning guarantees than traditional working set estimation techniques. Overall, our experimental results show that SMC is both time- and space-efficient in the practical cases of interest, demonstrating that low-overhead high-frequency memory checkpointing is a realistic option and opening up opportunities for new programming abstractions empowered by fast checkpointing techniques.

Acknowledgments

We would like to thank the reviewers for their comments. This work was supported by the European Commission through project H2020 ICT-32-2014 “SHARCS” under Grant Agreement No. 644571 and by the European Research Council through project ERC-2010-StG 259108 “Rosetta”.

10. REFERENCES

- [1] Apache benchmark (AB). <http://httpd.apache.org/docs/2.0/programs/ab.html>.
- [2] BIND. <http://www.isc.org/downloads/bind/>.
- [3] CRIU. <http://criu.org>.
- [4] Kernel Probes. <http://www.kernel.org/doc/Documentation/kprobes.txt>.
- [5] OpenVZ. <http://wiki.openvz.org>.
- [6] SysBench. <http://sysbench.sourceforge.net/>.
- [7] R. Azimi, L. Soares, M. Stumm, T. Walsh, and A. D. Brown. Path: Page access tracking to improve memory management. In *Proc. of the Sixth Int'l Symp. on Memory Management*, pages 31–42, 2007.
- [8] S. Bansal and D. S. Modha. CAR: clock with adaptive replacement. In *Proc. of the Third USENIX Conf. on File and Storage Technologies*, pages 187–200, 2004.
- [9] A. Belay, A. Bittau, A. Mashtizadeh, D. Terei, D. Mazières, and C. Kozyrakis. Dune: Safe user-level access to privileged CPU features. In *Proc. of the 10th USENIX Symp. on Operating Systems Design and Implementation*, pages 335–348, 2012.
- [10] G. Bronevetsky, D. Marques, K. Pingali, P. Szwed, and M. Schulz. Application-level checkpointing for shared memory programs. In *Proc. of the 11th Int'l Conf. on Architectural Support for Programming Languages and Operating Systems*, pages 235–247, 2004.
- [11] E. Bugnion, V. Chipounov, and G. Candea. Lightweight snapshots and system-level backtracking. In *Proc. of the 14th Conf. on Hot Topics in Operating Systems*, page 23, 2013.
- [12] R. W. Carr and J. L. Hennessy. WSCLOCK: a simple and effective algorithm for virtual memory management. In *Proc. of the Eighth ACM Symp. on Operating Systems Principles*, pages 87–95, 1981.
- [13] J.-H. Chiang, H.-L. Li, and T.-c. Chiueh. Working set-based physical memory ballooning. In *Proc. of the 10th Int'l Conf. on Autonomic Computing*, pages 95–99.
- [14] S.-E. Choi and S. Deitz. Compiler support for automatic checkpointing. In *Proc. of the 16th Annual Int'l Symp. on High Performance Computing Systems and Applications*, pages 213–220, 2002.
- [15] C. Clark, K. Fraser, S. Hand, J. G. Hansen, E. Jul, C. Limpach, I. Pratt, and A. Warfield. Live migration of virtual machines. In *Proc. of the Second Symp. on Networked Systems Design and Implementation*, pages 273–286, 2005.
- [16] W. R. Dieter and J. E. Lumpp, Jr. User-level checkpointing for LinuxThreads programs. In *Proc. of the 18th USENIX Annual Tech. Conf.*, pages 81–92, 2001.
- [17] I. Doudalis and M. Prvulovic. KIMA: hybrid checkpointing for recovery from a wide range of errors and detection latencies. Technical report, 2010.
- [18] C. Ferreira. *Gene Expression Programming: Mathematical Modeling by an Artificial Intelligence*. 2006.
- [19] K. B. Ferreira, R. Riesen, R. Brighwell, P. Bridges, and D. Arnold. libhshckpt: hash-based incremental checkpointing using GPU's. In *Proc. of the 18th European Conf. on Recent Advances in the Message Passing Interface*, pages 272–281, 2011.
- [20] Q. Gao, W. Zhang, Y. Tang, and F. Qin. First-aid: surviving and preventing memory management bugs during production runs. In *Proceedings of the Fourth ACM European Conf. on Computer Systems*, pages 159–172, 2009.
- [21] R. Gioiosa, J. C. Sancho, S. Jiang, F. Petrini, and K. Davis. Transparent, incremental checkpointing at kernel level: A foundation for fault tolerance for parallel computers. In *Proc. of the 2005 ACM/IEEE Conf. on Supercomputing*, page 9, 2005.
- [22] C. Giuffrida, L. Cavallaro, and A. S. Tanenbaum. We crashed, now what? In *Proc. of the Sixth Workshop on Hot Topics in System Dependability*, pages 1–8, 2010. ACM ID: 1924912.
- [23] C. Giuffrida, C. Iorgulescu, and A. S. Tanenbaum. Mutable checkpoint-restart: automating live update for generic server programs. In *Proceedings of the 15th International Middleware Conference*, pages 133–144, 2014.
- [24] P. H. Hargrove and J. C. Duell. Berkeley lab checkpoint/restart (BLCR) for linux clusters. *Journal of Physics: Conference Series*, 46(1):494, Sept. 2006.
- [25] J. Heo, S. Yi, Y. Cho, J. Hong, and S. Y. Shin. Space-efficient page-level incremental checkpointing. In *Proc. of the ACM Symp. on Applied Computing*, pages 1558–1562, 2005.
- [26] M. Hertz, S. Kane, E. Keudel, T. Bai, C. Ding, X. Gu, and J. E. Bard. Waste not, want not: Resource-based garbage collection in a shared environment. In *Proc. of the Int'l Symp. on Memory Management*, pages 65–76, 2011.
- [27] J. Hursey, C. January, M. O'Connor, P. H. Hargrove, D. Lecomber, J. M. Squyres, and A. Lumsdaine. Checkpoint/restart-enabled parallel debugging. In *Proc. of the 19th European Message Passing Interface Conference*, pages 219–228, 2010.
- [28] D. Ibtisham, D. Arnold, P. Bridges, K. Ferreira, and R. Brightwell. On the viability of compression for reducing the overheads of Checkpoint/Restart-Based fault tolerance. In *Proc. of the 41st Int'l Conf. on Parallel Processing*, pages 148–157, 2012.
- [29] S. Jiang, F. Chen, and X. Zhang. CLOCK-Pro: an effective improvement of the CLOCK replacement. In *Proc. of the USENIX Annual Tech. Conf.*, pages 323–336, 2005.
- [30] S. T. Jones, A. C. Arpaci-Dusseau, and R. H. Arpaci-Dusseau. Geiger: Monitoring the buffer cache in a virtual machine environment. In *Proc. of the 12th Int'l Conf. on Architectural Support for Programming Languages and Operating Systems*, pages 14–24, 2006.
- [31] Y. Joo, J. Ryu, S. Park, and K. G. Shin. FAST: quick application launch on solid-state drives. In *Proc. of the Ninth USENIX Conf. on File and Storage Technologies*, pages 19–32, 2011.
- [32] A. Kadav, M. J. Renzelmann, and M. M. Swift. Fine-grained fault tolerance using device checkpoints. In *Proc. of the 18th Int'l Conf. on Architectural Support for Programming Languages and Operating Systems*, pages 473–484, 2013.
- [33] S. Kannan, A. Gavrilovska, K. Schwan, and D. Milojevic. Optimizing checkpoints using nvm as virtual memory. In *Proc. of the 27th IEEE Int'l Parallel and Distributed Processing Symp.*, pages 29–40, 2013.
- [34] S. T. King, G. W. Dunlap, and P. M. Chen. Debugging operating systems with time-traveling virtual machines. In *Proc. of the USENIX Annual Tech. Conf.*, page 1, 2005.
- [35] K. Krishnakumar. Micro-genetic algorithms for stationary and non-stationary function optimization. In *Proc. of the Intelligent Control and Adaptive Systems Conf.*, pages 289–296, 1990.
- [36] O. Laadan and S. E. Hallyn. Linux-CR: transparent application checkpoint-restart in linux. In *Linux Symposium*, pages 159–172, 2010.
- [37] O. Laadan and J. Nieh. Transparent checkpoint-restart of multiple processes on commodity operating systems. In *Proc. of the USENIX Annual Tech. Conf.*, pages 1–14, 2007.
- [38] C. Lattner and V. Adve. LLVM: A compilation framework for lifelong program analysis & transformation. In *Proc. of the Int'l Symp. on Code Generation and Optimization*, page 75, 2004.

- [39] A. Lenharth, V. S. Adve, and S. T. King. Recovery domains: an organizing principle for recoverable operating systems. In *Proc. of the 14th Int'l Conf. on Architectural Support for Programming Languages and Operating Systems*, pages 49–60. ACM, 2009.
- [40] C.-C. Li and W. Fuchs. CATCH: compiler-assisted techniques for checkpointing. In *Proc. of the 20th Int'l Symp. on Fault-tolerant computing*, pages 74–81, 1990.
- [41] A. Lipowski and D. Lipowska. Roulette-wheel selection via stochastic acceptance. *Physica A: Statistical Mechanics and its Applications*, 391(6):2193–2196, 2012.
- [42] P. Lu and K. Shen. Virtual machine memory access tracing with hypervisor exclusive cache. In *Proc. of the USENIX Annual Tech. Conf.*, pages 1–15, 2007.
- [43] D. Magenheimer. Memory overcommit... without the commitment. In *Xen Summit*, pages 1–3, 2008.
- [44] B. L. Miller, B. L. Miller, D. E. Goldberg, and D. E. Goldberg. Genetic algorithms, tournament selection, and the effects of noise. *Complex Systems*, 9:193–212, 1995.
- [45] M. Mitchell. *An introduction to genetic algorithms*. MIT press, 1998.
- [46] H.-c. Nam, J. Kim, S. Hong, and S. Lee. Probabilistic checkpointing. In *Proc. of the 27th Int'l Symp. on Fault-Tolerant Computing*, page 48, 1997.
- [47] B. Nicolae. Towards scalable checkpoint restart: A collective inline memory contents deduplication proposal. In *Proc. of the Int'l Symp. on Parallel Distributed Processing*, pages 19–28, 2013.
- [48] B. Nicolae and F. Cappello. AI-Ckpt: leveraging memory access patterns for adaptive asynchronous incremental checkpointing. In *Proc. of the 22nd Int'l Symp. on High-performance Parallel and Distributed Computing*, pages 155–166, 2013.
- [49] S. Osman, D. Subhraveti, G. Su, and J. Nieh. The design and implementation of zap: A system for migrating computing environments. In *Proc. of the 8th USENIX Symp. on Operating Systems Design and Implementation*, pages 361–376, Dec. 2002.
- [50] E. Park, B. Egger, and J. Lee. Fast and space-efficient virtual machine checkpointing. In *Proc. of the 7th ACM SIGPLAN/SIGOPS Int'l Conf. on Virtual Execution Environments*, pages 75–86, 2011.
- [51] J. S. Plank, M. Beck, G. Kingsley, and K. Li. Libckpt: Transparent checkpointing under unix. In *Proc. of the USENIX Technical Conf.*, page 18, 1995.
- [52] J. S. Plank, K. Li, and M. A. Puening. Diskless checkpointing. *IEEE Trans. Parallel Distrib. Syst.*, 9(10):972–986, Oct. 1998.
- [53] G. Portokalidis and A. D. Keromytis. REASSURE: a self-contained mechanism for healing software using rescue points. In *Proc. of the 6th Int'l Conf. on Advances in Information and Computer Security*, pages 16–32, 2011.
- [54] F. Qin, J. Tucek, J. Sundaresan, and Y. Zhou. Rx: Treating bugs as allergies—a safe method to survive software failures. In *Proc. of the 20th ACM Symp. on Operating Systems Principles*, SOSP '05, pages 235–248, 2005.
- [55] M. Rieker, J. Ansel, and G. Cooperman. Transparent user-level checkpointing for the native posix thread library for linux. In *Proc. of Parallel and Distributed Processing Techniques and Applications*, pages 492–498, 2006.
- [56] J. F. Ruscio, M. A. Heffner, and S. Varadarajan. DejaVu: transparent user-level checkpointing, migration and recovery for distributed systems. In *Proc. of the ACM/IEEE Conf. on Supercomputing*, 2006.
- [57] A. Saini, A. Rezaei, F. Mueller, P. Hargrove, and E. Roman. Affinity-aware checkpoint restart. In *Proceedings of the 15th International Middleware Conference*, pages 121–132, 2014.
- [58] S. Sidiroglou, O. Laadan, C. Perez, N. Viennot, J. Nieh, and A. D. Keromytis. ASSURE: automatic software self-healing using rescue points. In *Proc. of the 14th Int'l Conf. on Architectural Support for Programming Languages and Operating Systems*, pages 37–48, 2009.
- [59] V. M. Spears and K. A. D. Jong. On the virtues of parameterized uniform crossover. In *In Proc. of the Fourth Int'l Conf. on Genetic Algorithms*, pages 230–236, 1991.
- [60] S. M. Srinivasan, S. Kandula, C. R. Andrews, and Y. Zhou. Flashback: A lightweight extension for rollback and deterministic replay for software debugging. In *Proc. of the USENIX Annual Tech. Conf.*, page 3, 2004.
- [61] D. Subhraveti and J. Nieh. Record and transplay: partial checkpointing for replay debugging across heterogeneous systems. In *Proc. of the Int'l Conf. on Measurement and Modeling of Computer Systems*, pages 109–120, 2011.
- [62] J. Tucek, S. Lu, C. Huang, S. Xanthos, and Y. Zhou. Triage: diagnosing production run failures at the user's site. In *ACM SIGOPS Operating Systems Review*, volume 41, pages 131–144. ACM, 2007.
- [63] M. Vasavada, F. Mueller, P. H. Hargrove, and E. Roman. Comparing different approaches for incremental checkpointing: The showdown. In *13th Annual Linux Symposium*, pages 69–79, 2011.
- [64] D. Vogt, C. Giuffrida, H. Bos, and A. S. Tanenbaum. Techniques for efficient in-memory checkpointing. In *Proc. of the Ninth Workshop on Hot Topics in System Dependability*, 2013.
- [65] D. Vogt, C. Giuffrida, H. Bos, and A. S. Tanenbaum. Lightweight memory checkpointing. In *Proc. of the Int'l Conf. on Dependable Systems and Networks*, 2015.
- [66] C. A. Waldspurger. Memory resource management in VMware ESX server. In *Proc. of the Fifth USENIX Symp. on Operating Systems Design and Implementation*, pages 181–194, 2002.
- [67] L. Wang, Z. Kalbarczyk, R. K. Iyer, and A. Iyengar. Checkpointing virtual machines against transient errors. In *Proc. of the 16th Int'l On-Line Testing Symp.*, pages 97–102, 2010.
- [68] Y.-M. Wang, Y. Huang, K.-P. Vo, P.-Y. Chung, and C. Kintala. Checkpointing and its applications. In *Proc. of the 25th Int'l Symp. on Fault-Tolerant Computing*, page 22, 1995.
- [69] T. Yang, E. D. Berger, S. F. Kaplan, and J. E. B. Moss. CRAMM: virtual memory support for garbage-collected applications. In *Proc. of the 7th Symp. on Operating Systems Design and Implementation*, pages 103–116, 2006.
- [70] A. Zavou, G. Portokalidis, and A. D. Keromytis. Self-healing multitier architectures using cascading rescue points. In *Proc. of the 28th Annual Computer Security Applications Conf.*, pages 379–388, 2012.
- [71] E. P. Zaw and N. L. Thein. Live virtual machine migration with efficient working set prediction. In *Proc. of First Int'l Conf. on Network and Electronics Engineering*, 2011.
- [72] C. Zhang, K. Kelsey, X. Shen, C. Ding, M. Hertz, and M. Ogihara. Program-level adaptive memory management. In *Proc. of the Fifth Int'l Symp. on Memory Management*, pages 174–183, 2006.
- [73] I. Zhang, T. Denniston, Y. Baskakov, and A. Garthwaite. Optimizing VM checkpointing for restore performance in VMware ESXi. In *Proc. of the USENIX Annual Tech. Conf.*, pages 1–12, 2013.
- [74] I. Zhang, A. Garthwaite, Y. Baskakov, and K. C. Barr. Fast restore of checkpointed memory using working set estimation. In *Proc. of the 7th Int'l Conf. on Virtual Execution Environments*, pages 87–98, 2011.
- [75] X. Zhang, S. Dwarkadas, and K. Shen. Towards practical page coloring-based multicore cache management. In *Proc. of the Fourth ACM European Conf. on Computer Systems*, pages 89–102, 2009.
- [76] C. C. Zhao, J. G. Steffan, C. Amza, and A. Kielstra. Compiler support for fine-grain software-only checkpointing. In *Proc. of the 21st Int'l Conf. on Compiler Construction*, pages 200–219, 2012.

- [77] W. Zhao, X. Jin, Z. Wang, X. Wang, Y. Luo, and X. Li. Low cost working set size tracking. In *Proc. of the USENIX Annual Tech. Conf.*, pages 17–28, 2011.
- [78] W. Zhao and Z. Wang. Dynamic memory balancing for virtual machines. In *Proc. of the Int'l Conf. on Virtual Execution Environments*, pages 21–30, 2009.
- [79] P. Zhou, V. Pandey, J. Sundaresan, A. Raghuraman, Y. Zhou, and S. Kumar. Dynamic tracking of page miss ratio curve for memory management. In *Proc. of the 11th Int'l Conf. on Architectural Support for Programming Languages and Operating Systems*, pages 177–188, 2004.